{deleted text} shows text that was in HB0068 but was deleted in HB0068S04.

inserted text shows text that was not in HB0068 but was inserted into HB0068S04.

DISCLAIMER: This document is provided to assist you in your comparison of the two bills. Sometimes this automated comparison will NOT be completely accurate. Therefore, you need to read the actual bills. This automatically generated document could contain inaccuracies caused by: limitations of the compare program; bad input data; or other causes.

Senator Howard A. Stephenson proposes the following substitute bill:

STUDENT PRIVACY {ACT}STUDY

2015 GENERAL SESSION STATE OF UTAH

Chief Sponsor: Jacob L. Anderegg

Senate Sponsor: { Howard A. Stephenson

LONG TITLE

General Description:

This bill {creates the Student Privacy Act and addresses the release of public school student information} requires the State Board of Education to develop a student privacy funding proposal and make recommendations to the Legislature.

Highlighted Provisions:

This bill:

- \{\text{defines terms};}\)
- requires certain people to protect student privacy;
- allows a student or the student's parent to authorize the collection and release of certain student data;
- prohibits an education entity from releasing a student's personally identifiable
 information under certain circumstances;

- allows an education entity to release a student's personally identifiable information under certain circumstances;
 - prohibits a school district from eliciting certain information from students;
 - provides what kinds of student data may be collected and under what circumstances;
 - requires an education entity to provide a student data disclosure to parents and students at the beginning of each school year or at the time a student enrolls with the education entity;
 - establishes requirements for } requires the State Board of Education {related to the collection, usage, and storage of student data} to develop a funding proposal and make recommendations to the Legislature on how the State Board of Education and the Legislature can update student privacy laws in statute and in board rule;
 - requires the State Board of Education to designate a {student privacy coordinator to oversee the protection of student data;
- requires an education entity or third party contractor to collect, use, and store data in accordance with certain security measures;
 - cstablishes penalties; and
 - makes technical changes}chief privacy officer; and
 - requires the State Board of Education and the chief privacy officer to report to the Public Education Appropriations Subcommittee.

Money Appropriated in this Bill:

{None} This bill appropriates in fiscal year 2016:

- <u>to the State Board of Education State Office of Education Assessment and Accountability, as an ongoing appropriation:</u>
 - from the Education Fund, \$180,000; and
- <u>to the State Board of Education State Office of Education Assessment and Accountability, as a one-time appropriation:</u>
 - from the Education Fund, One-time, \$5,000.

Other Special Clauses:

None This bill provides a special effective date.

Utah Code Sections Affected:

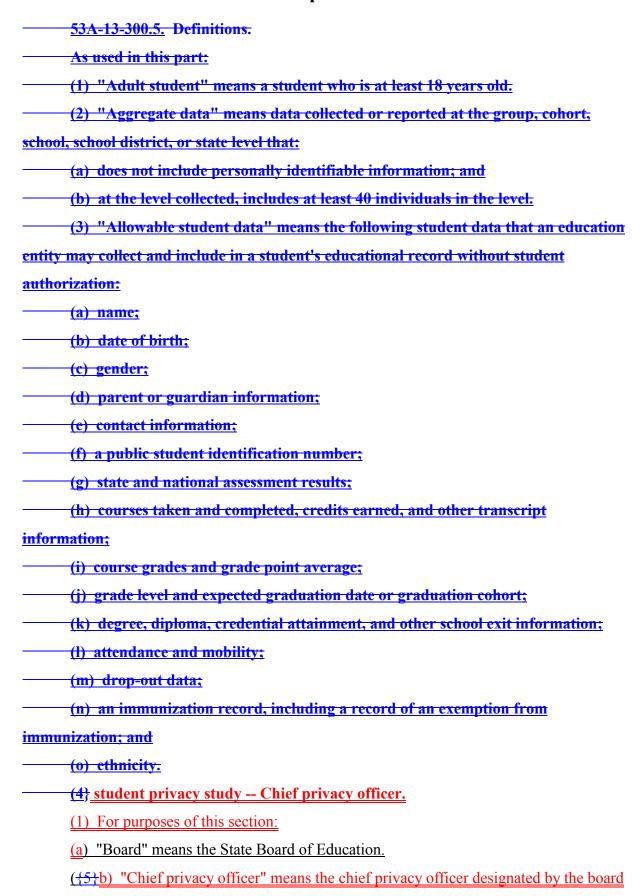
{AMENDS:

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53A-11-605, as last amended by Laws of Utah 2013, Chapter 335
      53A-13-301, as last amended by Laws of Utah 2011, Chapter 401
       53A-13-302, as last amended by Laws of Utah 2014, Chapter 214
ENACTS:
       <del>{53A-13-300.5}</del><u>53A-1-710</u>, Utah Code Annotated 1953
       53A-13-303, Utah Code Annotated 1953
      53A-13-304, Utah Code Annotated 1953
       53A-13-305, Utah Code Annotated 1953
Be it enacted by the Legislature of the state of Utah:
       Section 1. Section \(\frac{53A-11-605}{53A-1-710}\) is \(\frac{\tangended}{\text{enacted}}\) to read:
       **53A-11-605**53A-1-710.** Definitions -- School personnel -- Medical
recommendations -- Exceptions -- Penalties.
      (1) As used in this section:
       (a) "Health care professional" means a physician, physician assistant, nurse,
dentist, or mental health therapist.
       (b) "School personnel" means a school district or charter school employee,
including a licensed, part-time, contract, or nonlicensed employee.
       (2) School personnel may:
       (a) provide information and observations to a student's parent or guardian about
that student, including observations and concerns in the following areas:
       (i) progress;
       (ii) health and wellness;
      (iii) social interactions;
      (iv) behavior; or
      (v) topics consistent with Subsection 53A-13-302[(6)](2);
       (b) communicate information and observations between school personnel
regarding a child;
       (c) refer students to other appropriate school personnel and agents, consistent
with local school board or charter school policy, including referrals and communication
with a school counselor or other mental health professionals working within the school
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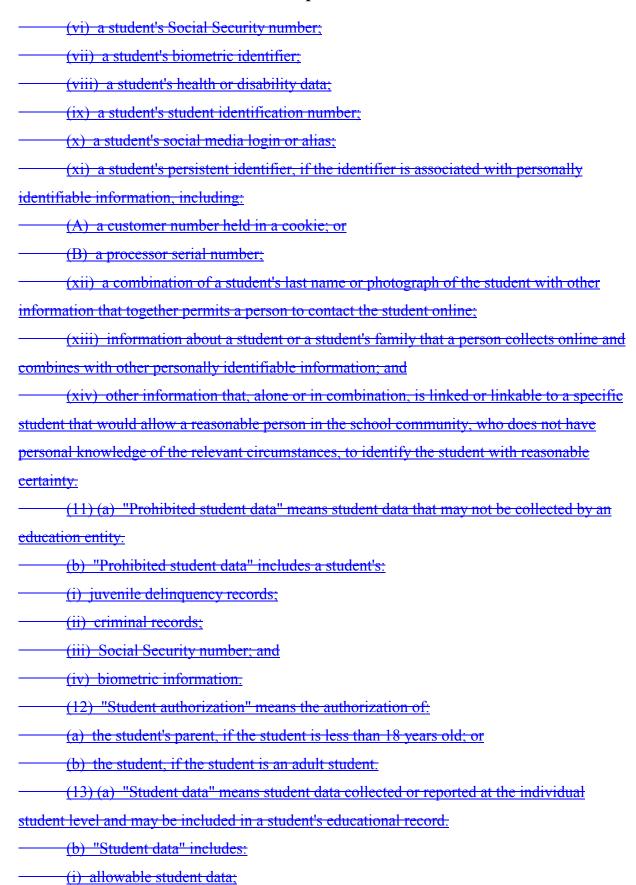
system; (d) consult or use appropriate health care professionals in the event of an emergency while the student is at school, consistent with the student emergency information provided at student enrollment; (e) exercise their authority relating to the placement within the school or readmission of a child who may be or has been suspended or expelled for a violation of Section 53A-11-904; and (f) complete a behavioral health evaluation form if requested by a student's parent or guardian to provide information to a licensed physician. (3) School personnel shall: (a) report suspected child abuse consistent with Section 62A-4a-403; (b) comply with applicable state and local health department laws, rules, and policies; and (c) conduct evaluations and assessments consistent with the Individuals with Disabilities Education Act, 20 U.S.C. Sec. 1400 et seq., and its subsequent amendments. (4) Except as provided in Subsection (2), Subsection (6), and Section 53A-11a-203, school personnel may not: (a) recommend to a parent or guardian that a child take or continue to take a psychotropic medication; (b) require that a student take or continue to take a psychotropic medication as a condition for attending school; (c) recommend that a parent or guardian seek or use a type of psychiatric or psychological treatment for a child; (d) conduct a psychiatric or behavioral health evaluation or mental health screening, test, evaluation, or assessment of a child, except where this Subsection (4)(d) conflicts with the Individuals with Disabilities Education Act, 20 U.S.C. Sec. 1400 et seq., and its subsequent amendments; or (e) make a child abuse or neglect report to authorities, including the Division of Child and Family Services, solely or primarily on the basis that a parent or guardian refuses to consent to:

(i) a psychiatric, psychological, or behavioral treatment for a child, including the

administration of a psychotropic medication to a child; or (ii) a psychiatric or behavioral health evaluation of a child. (5) Notwithstanding Subsection (4)(e), school personnel may make a report that would otherwise be prohibited under Subsection (4)(e) if failure to take the action described under Subsection (4)(e) would present a serious, imminent risk to the child's safety or the safety of others. (6) Notwithstanding Subsection (4), a school counselor or other mental health professional acting in accordance with Title 58, Chapter 60, Mental Health Professional Practice Act, or licensed through the State | State | Board of Education |, working within the school system may: (a) recommend, but not require, a psychiatric or behavioral health evaluation of a child; (b) recommend, but not require, psychiatric, psychological, or behavioral treatment for a child; (c) conduct a psychiatric or behavioral health evaluation or mental health screening, test, evaluation, or assessment of a child in accordance with Section 53A-13-302; and (d) provide to a parent or guardian, upon the specific request of the parent or guardian, a list of three or more health care professionals or providers, including licensed physicians, psychologists, or other health specialists. (7) Local school boards or charter schools shall adopt a policy: (a) providing for training of appropriate school personnel on the provisions of this section; and (b) indicating that an intentional violation of this section is cause for disciplinary action consistent with local school board or charter school policy and under Section 53A-8a-502. (8) Nothing in this section shall be interpreted as discouraging general communication not prohibited by this section between school personnel and a student's parent or guardian. Section 2. Section 53A-13-300.5 is enacted to read: Part 13. Student Privacy Act



in Subsection (4). (c) "Education entity" means: $(\frac{a}{a})$ the board; (\frac{\frac{1}{b}}{ii}) a local school board or charter school governing board; ({c}iii) a school district: (\{\d}iv) a public school; or (\fe\rangle v) the Utah Schools for the Deaf and the Blind \frac{\forall \text{or}}{\text{or}} (f) a school community council. (6) "Higher education entity" means: (a) an institution of higher education described in Subsection 53B-2-101(1); or (b) the State Board of Regents established in Section 53B-1-103. (7) (a) "Optional student data" means student data that an education entity may not collect except in accordance with Section 53A-13-303. (b) "Optional student data" includes: (i) discipline reports; (ii) remediation efforts; (iii) special education data; (iv) demographic data; (v) medical and health records; and (vi) program participation information. (8) "Out-of-state educational agency" means an education agency or institution located outside the state. (9) "Parent" means a student's parent or legal guardian. (10) (a) "Personally identifiable information" means information that identifies an individual. (b) "Personally identifiable information" includes: (i) a student's first or last name; (ii) a name of a student's family member; (iii) a student's or student's family's home or physical address; (iv) a student's email address or online contact information; (v) a student's telephone number;



(ii) optional student data; and (iii) prohibited student data. (14) "Student data system" means the State Board of Education's system for collecting, storing, and using student data. (15) "Student privacy coordinator" means the State Office of Education student privacy coordinator designated by the board under Section 53A-13-305. (16). (d) "Third party {contractor} service provider" means a person, other than an education entity, that { receives student data from an education entity pursuant to}: (i) enters into a contract or written agreement \{ \frac{1}{2}} Section 3. Section 53A-13-301 is amended to read: 53A-13-301. Application of state law to the administration and operation of public schools -- Student information confidentiality standards -- Local school board and charter school governing board policies. (1) An [employee, student aide, volunteer, or other agent of the state's public education system] education entity and an employee, student aide, volunteer, third party contractor, or other agent of with an education entity shall protect the privacy of students, their parents, and their families] a student, the student's parents, and the student's family, and support parental involvement in the education of their children through compliance with the protections provided for family and} to provide a service or product; and (ii) receives student data from the education entity pursuant to the contract or written agreement. (2) (a) The board shall develop a funding proposal and make recommendations to the Legislature on how the board and the Legislature can update student privacy {under | Section | } 53A-13-302 and the Federal Family Educational Rights and Privacy Act and related provisions under 20 U.S.C. 1232g and 1232h,] this part in the administration and operation of all public school programs, regardless of the source of funding. (2) (a) A student owns the student's personally identifiable information. (b) A parent of a student or an adult student has the discretion to authorize: (i) collection of the student's optional student data; and (ii) sharing or accessing of the student's optional student data.

- (c) When a student leaves the state's public education system, the student's parent or the student, if the student is an adult student, may require} laws in statute and in board rule.
- (b) The board shall consider input from education entities, parents, and other stakeholders as the board develops the funding proposal and recommendations described in Subsection (2)(a).
- (3) The board shall consider the following issues as the board develops the funding proposal and recommendations described in Subsection (2)(a):
 - (a) how an education entity {to expunge all of the student's student data.
- (3) Except as provided in Subsection (4),} can better maintain, secure, and safeguard student data, including using industry best practices to maintain, secure, and safeguard the student data;
- (b) how to provide disclosures to parents and students on how student data will be collected, maintained, and used;
- (c) the process to release student data to an education entity {may not release a student's personally identifiable information without student authorization.
- (4) Subject to the requirements of this section, an education entity may release a student's personally identifiable information without student authorization to:
 - (a) or to a person, including to the following:
 - (i) another education entity;
- ({b}ii) a {higher education entity, upon request of the student's parent, or the student, if the student is an adult student;
- (c) a third party contractor, consultant, or other party to whom the education entity has outsourced services or functions for the following purposes:
 - (i) to conduct a study or perform research; or
- (ii) to perform a service or function for which the education entity would otherwise use employees; or
 - (d) an out-of-state education agency if:
- (i) the student seeks or intends to enroll, or if the student is already enrolled, at the out-of-state education agency; and
- (ii) the release of personally identifiable information is for purposes related to the student's enrollment or transfer.

(5) An government entity:
(A) within the state; or
(B) outside of the state; and
(iii) a private third party;
(d) how to allow a student to expunge the student's data;
(e) how to manage a contract with a third party service provider to ensure that a
contract entered into between an education entity {may release aggregate student data to a
person.
[(2)] (6) A local school board or charter school governing board shall enact policies
governing the protection of family and student privacy as required by this section.
[(3)] (7) (a) In accordance with Title 63G, Chapter 3, Utah Administrative Rulemaking
Act, the State Board of Education shall makes rules to establish standards for public education
employees, student aides, and volunteers in public schools regarding the confidentiality of
student information and student records.
(b) The rules described in Subsection [(3)] (7)(a) shall provide that a local school board
or charter school governing board may adopt policies related to public school student
confidentiality to address the specific needs or priorities of the school district or charter school.
[(4)] (8) The State Board of Education shall:
(a) develop resource materials for purposes of training employees, student aides, and
volunteers of a school district or charter school regarding the confidentiality of student
information and student records; and
(b) provide the materials described in Subsection [(4)] (8)(a) to each school district and
<u>charter school.</u>
Section 4. Section 53A-13-302 is amended to read:
53A-13-302. Activities prohibited Qualifications Training on
<u>implementation.</u>
(1) Except as provided in Subsection (7), Section 53A-11a-203, and [Section
53A-15-1301] this part, policies adopted by a school district or charter school under Section
53A-13-301 shall include prohibitions on the administration to a student of any psychological
or psychiatric examination, test, or treatment, or any survey, analysis, or evaluation without the
prior written consent of the student's parent or legal guardian, in which the purpose or evident

intended effect is to cause the student to reveal information, whether the information is personally identifiable or not, concerning the student's or any family member's: (a) political affiliations or, except as provided under Section 53A-13-101.1 or rules of the State Board of Education, political philosophies; (b) mental or psychological problems; (c) sexual behavior, orientation, or attitudes; (d) illegal, anti-social, self-incriminating, or demeaning behavior; (e) critical appraisals of individuals with whom the student or family member has close family relationships; (f) religious affiliations or beliefs; (g) legally recognized privileged and analogous relationships, such as those with lawyers, medical personnel, or ministers; and (h) income, except as required by law. (2) Prior written consent under Subsection (1) is required in all grades, kindergarten through grade 12. (3) Except as provided in Subsection (7), Section 53A-11a-203, and Section 53A-15-1301, the prohibitions under Subsection (1) shall also apply within the curriculum and other school activities unless prior written consent of the student's parent or legal guardian has been obtained. (4) Written parental consent is valid only if a parent or legal guardian has been first given written notice, including notice that a copy of the educational or student survey questions to be asked of the student in obtaining the desired information is made available at the school, and a reasonable opportunity to obtain written information concerning: (a) records or information, including information about relationships, that may be examined or requested; (b) the means by which the records or information shall be examined or reviewed; (c) the means by which the information is to be obtained; (d) the purposes for which the records or information are needed; (e) the entities or persons, regardless of affiliation, who will have access to the personally identifiable information; and (f) a method by which a parent of a student can grant permission to access or examine

the personally identifiable information.

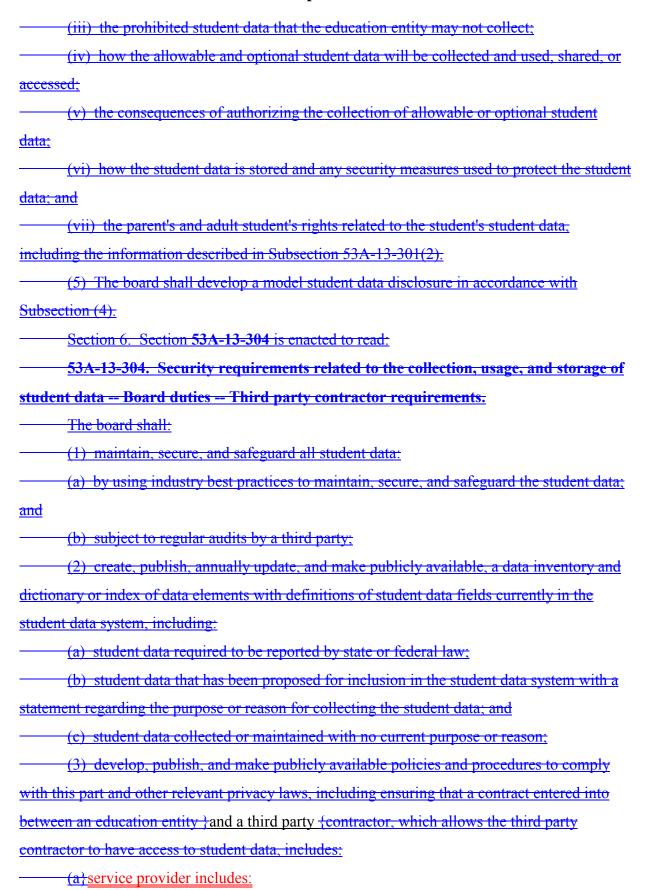
- (5) (a) Except in response to a situation which a school employee reasonably believes to be an emergency, or as authorized under Title 62A, Chapter 4a, Part 4, Child Abuse or Neglect Reporting Requirements, or by order of a court, disclosure to a parent or legal guardian must be given at least two weeks before information protected under this section is sought.
- (b) Following disclosure, a parent or guardian may waive the two week minimum notification period.
- (c) Unless otherwise agreed to by a student's parent or legal guardian and the person requesting written consent, the authorization is valid only for the activity for which it was granted.
- (d) A written withdrawal of authorization submitted to the school principal by the authorizing parent or guardian terminates the authorization.
- (e) A general consent used to approve admission to school or involvement in special education, remedial education, or a school activity does not constitute written consent under this section.
- (6) (a) This section does not limit the ability of a student under Section 53A-13-101.3 to spontaneously express sentiments or opinions [otherwise protected against disclosure under this section].
- (b) (i) If a school employee or agent believes that a situation exists which presents a serious threat to the well-being of a student, that employee or agent shall notify the student's parent or guardian without delay.
- (ii) If, however, the matter has been reported to the Division of Child and Family

 Services within the Department of Human Services, it is the responsibility of the division to

 notify the student's parent or guardian of any possible investigation, prior to the student's return

 home from school.
- (iii) The division may be exempted from the notification requirements described in this Subsection (6)(b)(ii) only if it determines that the student would be endangered by notification of his parent or guardian, or if that notification is otherwise prohibited by state or federal law.
- (7) (a) If a school employee, agent, or school resource officer believes a student is at-risk of attempting suicide, physical self-harm, or harming others, the school employee, agent, or school resource officer may intervene and ask a student questions regarding the

student's suicidal thoughts, physically self-harming behavior, or thoughts of harming others for the purposes of: (i) referring the student to appropriate prevention services; and (ii) informing the student's parent or legal guardian. (b) On or before September 1, 2014, a school district or charter school shall develop and adopt a policy regarding intervention measures consistent with Subsection (7)(a) while requiring the minimum degree of intervention to accomplish the goals of this section. (8) Local school boards and charter school governing boards shall provide inservice for teachers and administrators on the implementation of this section. (9) The board shall provide procedures for disciplinary action for violations of this section. Section 5. Section 53A-13-303 is enacted to read: 53A-13-303. Requirements for collection of student data -- Student data disclosure. (1) An education entity may collect allowable student data if the education entity provides a student data disclosure that complies with Subsection (4) to: (a) the student, if the student is an adult student; and (b) the student's parent. (2) An education entity may collect optional student data if the education entity: (a) provides a student data disclosure that complies with Subsection (4) to: (i) the student, if the student is an adult student; and (ii) the student's parent; and (b) obtains student authorization to collect the optional student data. (3) An education entity may not collect prohibited student data. (4) An education entity that collects student data shall prepare a written student data disclosure for distribution to parents and adult students: (a) (i) at the beginning of each school year; or (ii) at the time the student enrolls with the education entity; and (b) that includes a description of: (i) the allowable student data that the education entity collects; (ii) the optional student data that the education entity collects;



(i) provisions requiring specific restrictions on the use of student data:

({b}ii) specific dates governing the destruction of student data given to a third party {contractor}service provider; ({c}iii) provisions that prohibit a third party {contractor}service provider from using {the student data} personally identifiable information for a secondary use, including sales, marketing, or advertising; { and} (\frac{\frac{1}{1}}{1}) provisions limiting a third party \frac{\frac{1}{1}}{1} service provider's use of student data strictly for the purpose of providing services to the education entity; (4) develop a detailed security plan for education entities that includes: (a) guidelines for authorizing sharing and access to student data, including guidelines for authentication of authorized access: (b) guidelines for administrative safeguards providing for the security of electronic and physical student data, including provisions related to data encryption; (c) guidelines for education entity employees to better ensure the safety and security of student data: (d) privacy compliance standards; (e) privacy and annual security audits; (f) breach planning, notification, and procedures; and (g) data retention and disposition policies; (5) develop a model governance policy for education entities regarding the collection, access, security, and use of student data; (6) ensure that the following entities adopt the model governance policy described in Subsection (5): (a) local school boards; (b) charter schools; and (c) the Utah Schools for the Deaf and the Blind; (7) require a third party contractor} and (v) provisions requiring a third party service provider to maintain, secure, and safeguard all student data : (a) by using industry best practices to maintain, secure, and safeguard the student data; and

(\{b) subject to regular audits by a third party;
(8) require a third party contractor to use student data received under a contract with an
education entity strictly for the purpose of providing the contracted services to the education
entity; and
(9) prohibit a third party contractor from using student data received under a contract
with an education entity for a use not described in the contract.
Section 7. Section 53A-13-305 is enacted to read:
53A-13-305. Student privacy coordinator Reports of violations of student
privacy laws Penalties.
(1) (a) The board shall designate a State Office of Education student privacy
coordinator.
(b) The student privacy coordinator shall:
(i) oversee the administration of student privacy laws, including the requirements of
this part;
(ii) review complaints of:
(A) f) the penalties for:
(i) an unauthorized release of student data; {
(B) an unauthorized collection or
(ii) failing to maintain, secure, and safeguard student data.
(4) (a) The board shall designate a chief privacy officer.
(b) The chief privacy officer shall:
(i) oversee the administration of student {data; or
(C) an unauthorized use of student data;
(iii) report any violations of this part to:
(A) the board;
(B) the applicable education entity; and
(C) the Education Interim Committee; and
(iv) privacy laws; and
(ii) work with the board to develop {a model student data disclosure described in
Subsection 53A-13-303(4).
(2) (a) A third party contractor that knowingly or recklessly permits unauthorized

release or use of student data: (i) may not enter into a future contract with the board or another education entity; and (ii) may be required by the board to pay a civil penalty of \$25,000. (b) The board may assess the civil penalty} the funding proposal and recommendations described in Subsection (2)(a) {(ii) in accordance with Title 63G, Chapter 4, Administrative Procedures Act. (c) The board may bring an action in the district court of the county in which the office of the board is located, if necessary, to enforce payment of the civil penalty}. (5) On or before January 31, 2016, the board and the chief privacy officer shall present the funding proposal and recommendations described in Subsection (2)(a) (ii). (3) (a) A parent or adult student may bring an action in a court of competent jurisdiction for damages caused by violation of this part by an education entity or a third party contractor. (b) If the court finds that an education entity or third party contractor has violated this part, the court shall award to the parent or adult student: (i) damages; (ii) costs; and (iii) reasonable attorney fees.

<u>Legislative Review Note</u>

as of 12-10-14 1:15 PM

<u>Office of Legislative Research and General Counsel}</u> to the Public Education <u>Appropriations Subcommittee.</u>

Section 2. Appropriation.

<u>Under the terms and conditions of Title 63J, Chapter 1, Budgetary Procedures Act, for the fiscal year beginning July 1, 2015, and ending June 30, 2016, the following sums of money are appropriated from resources not otherwise appropriated, or reduced from amounts</u>

<u>previously appropriated, out of the funds or accounts indicated. These sums of money are in addition to any amounts previously appropriated for fiscal year 2016.</u>

<u>To State Board of Education - State Office of Education - Assessment and Accountability</u>

From Education Fund \$180,000

From Education Fund, One-time \$5,000

Schedule of Programs:

Assessment and Accountability \$185,000

The Legislature intends that:

- (1) the State Board of Education use the appropriation under this section as described in Section 53A-1-710; and
 - (2) \$180,000 of the appropriation under this section be:
 - (a) ongoing; and
 - (b) non-lapsing.

Section 3. Effective date.

- (1) Except as provided in Subsection (2), this bill takes effect on May 12, 2015.
- (2) Uncodified Section 2, Appropriation, takes effect on July 1, 2015.